

Medicare PPS RUG44 to RUG53 Transition Specifications

06/17/2005

This document presents specifications for the transition from RUG44 for RUG53 for MDS assessments and contains the following 4 sections:

Section 1. Medicare PPS RUG44 To RUG53 Transition Table

Section 2. Transition Assumptions And Issues

Section 3. Final Validation Report Mockup

Section 4. State System Medicare PPS RUG Validation Editing And Reporting Specifications

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SECTION 1. MEDICARE PPS RUG44 TO RUG53 TRANSITION TABLE

Row #	Description	Medicare PPS Assessment ARD			
		Before 11/22/05	11/22/05 – 12/31/05	01/01/06 – 01/13/06	After 01/13/06
1	RUG44 Data Systems Status	Required	Possible	Possible	Invalid
2	RUG53 Data Systems Status	Invalid	Possible	Possible	Required
3	Submission System RUGs Recalculated	RUG44 only	RUG44 and RUG53	RUG44 and RUG53	RUG53 only
4	Medicare RUG Submitted by Facility (stored in repository as T3mdcr)	RUG44	RUG44 or RUG53	RUG44 or RUG53	RUG53
5	Validation Editing and Reporting of Submitted Medicare RUG (T3mdcr)	Must match recalculated RUG44 group, else warning	Must match either recalculated RUG44 or recalculated RUG53, else warning	Must match either recalculated RUG44 or recalculated RUG53, else warning	Must match recalculated RUG53 group, else warning
6	Special Transition Listing of Recalculated RUGs on Final Validation Report	None listed	Both recalculated RUG44 and recalculated RUG53 listed	Both recalculated RUG44 and recalculated RUG53 listed	None listed
7	Recalculated RUGs Stored in Repository (mcr_gp)	RUG44	RUG44	RUG53	RUG53
8	RAVEN RUGs Display	RUG44	RUG44 and RUG53	RUG44 and RUG53	RUG53
9	MDS-QC RUGs Display	RUG44	RUG44 and RUG53	RUG44 and RUG53	RUG53

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SECTION 2. TRANSITION ASSUMPTIONS AND ISSUES

1. RUG44 will be used for billing all SNF days of service through 12/31/2005, while RUG53 will be used for billing days of service beginning with 01/01/2006.
2. The earliest PPS assessment ARD that could require RUG53 (for billed days of service on or after 01/01/2006) is 11/22/2005. The ARD window for a PPS 60-day assessment starts 11 days before the corresponding 60-day assessment payment period and the 60-day assessment payment period lasts for up to 30 days. The earliest possible ARD requiring RUG53 will involve the case where the 30th day in the 60-day assessment payment period is 01/01/2006 and the 60-assessment ARD is at the beginning of the 60-assessment window (11 days before the start of the payment period). This case would have an ARD of 11/22/2005. No other assessment type could have an earlier ARD and require RUG53.
3. The latest ARD that could require RUG44 (for billed days of service on or before 12/31/2005) is 01/13/2006. The initial 7-day assessment has a maximum payment period from Day 1 through Day 14. In certain cases (e.g., a resident switches payer sources and does not inform the facility timely), a 7-day assessment can be performed on Day 14 and can influence payment back to Day 1. If Day 1 is 12/31/2005 (RUG44 to be billed for that one day), the 7-day assessment ARD can be as late as Day 14 or 01/13/2005. This is the latest ARD that could require RUG44.
4. In determining the critical ARDs for RUG44 to RUG53 transition, the possibility of early assessments (assessments performed before the required assessment window) or leave of absence from the SNF stay (gaps in the billed days) were ignored. Early assessments or leaves of absence could move the earliest ARD for RUG53 before 11/22/2005. Leaves of absence could move the latest ARD for RUG44 after 01/13/2006. Such occurrences are assumed to be extremely rare and were ignored. Ellen Gay concurred with this decision.

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SECTION 3. FINAL VALIDATION REPORT MOCKUP

CMS State Report	
MDS Final Validation Report	
[Report Date/Time]	01/06/2006 06:54:22
[Edit Specs. Version]	1.20
[Batch Status]	RECEIVED
[Submission Date/Time]	01/06/2006 06:54:10
[Submission Batch ID]	5339090
[Batch Submission Type]	Production
[Facility ID]	LAURA
[Facility Name]	LAURA
[# Records Processed]	6
[# Records Rejected]	0
[# Duplicate Records]	0
[# O_OO Records]	0
[# Records with Messages]	6
[Total # of Messages]	17

Record: Header

[Field or MDS Items]	FAC_PHONE
[Invalid Data Submitted]	[Submitted: 5159814269 Database: 5152444444]
[Message Number]	-16 Warning
[Message]	Inconsistent facility telephone number: The facility telephone number submitted in the header record does not match the facility telephone number in the State database.

Record: 1

Assmt_Int_ID = 6003250	Accepted
Res_Int_ID = 1482553	Name = OLAPNOCHANGEX2, M-HNUM2
AA8a = 00 AA8b = 1	SSN = 791-85-2963
Record Type = OM	Target Date = 11/21/2005
AT2 = (Original)	Effective Date = 11/21/2005
	Attes Date (AT6) =

[Field or MDS Items]	AB1
[Invalid Data Submitted]	[Current AB1=01/01/1999 Prior AB1=03/01/2001]
[Message Number]	-65 Warning
[Message]	Inconsistent AB1 date: The submitted date in AB1 is not consistent with the AB1 date previously submitted.

*Rec 1: PPS before
transition
(11/21/05) = no
transition RUGs
listing*

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Record: 2	Accepted
Assmt_Int_ID = 6003251	Name = OLAPNOCHANGEX2, M-HNUM2
Res_Int_ID = 1482553	SSN = 791-85-2963
AA8a = 00 AA8b = 1	Target Date = 11/22/2005
Record Type = OM	Effective Date = 11/22/2005
AT2 = (Original)	Attes Date (AT6) =


Medicare transition period RUG groups: RUG44 = RUC, RUG53 = RUX

[Field or MDS Items]	GENDER
[Invalid Data Submitted]	[Old: 2 New: 1]
[Message Number]	-81 Warning
[Message]	Resident information updated: Submitted data in the above field is not the same as the data previously submitted for this resident. Verify that the "new" information is correct.

Rec 2: PPS in transition (11/22/05) = transition listing of both RUGs (different groups)

Record: 3	Accepted	
Assmt_Int_ID = 6003252	Name	= OLAPNOCHANGEX2, M-HNUM2
Res_Int_ID = 1482553	SSN	= 791-85-2963
AA8a = 00 AA8b = 1	Target Date	= 11/22/2005
Record Type = OM	Effective Date	= 11/22/2005
AT2 = (Original)	Attes Date (AT6) =	

Rec 3: PPS in transition
(11/22/05) = transition date



Medicare transition period RUG groups: RUG44 = CC1, RUG53 = CC1

Record: 4	Accepted	
Assmt_Int_ID = 6003253	Name	= OLAPNOCHANGEX2, M-HNUM2
Res_Int_ID = 1482553	SSN	= 791-85-2963
AA8a = 01 AA8b =	Target Date	= 11/23/2005
Record Type = A	Effective Date	= 11/23/2005
AT2 = (Original)	Attes Date (AT6) =	

Rec 4: non-PP transition (11/23/05) = n

Rec 3: PPS in transition (11/22/05) = transition listing of both RUGs (same group)

*Rec 4: non-PPS in transition
(11/23/05) = no transition RUGs listing*

Record: Trailer

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SECTION 4. STATE SYSTEM MEDICARE PPS RUG VALIDATION EDITING AND REPORTING SPECIFICATIONS

*****Non-PPS assessment**

IF not a PPS assessment [AA8b = 6 or 8]
THEN no Medicare RUG editing

*****PPS assessment before transition (RUG44 must be submitted)**

ELSE IF PPS assessment [AA8b = 1,2,3,4,5,7, or 8] AND before transition period [A3a < 11/22/2005]
THEN
Recalculated RUG44 computed and stored in repository record [mcr_gp]

IF submitted RUG [T3mdcr] NOT = recalculated RUG44
THEN
“Incorrect RUG value” warning [-307] for T3mdcr

*****PPS assessment in transition period in 2005 (RUG44 or RUG53 must be submitted)**

ELSE IF PPS assessment [AA8b = 1,2,3,4,5,7, or 8] AND in transition period in 2005 [11/22/2005 <= A3a <= 12/31/2005]
THEN
Recalculated RUG44 computed and stored in repository record [mcr_gp]

Recalculated RUG53 computed but not stored in repository record

IF submitted RUG [T3mdcr] NOT = recalculated RUG44 AND submitted RUG [T3mdcr] NOT = recalculated RUG53
THEN
“Incorrect RUG value” warning [-307] for T3mdcr

Display recalculated RUG44 and recalculated RUG53 on special “Medicare transition period RUGs group” line on final validation report

*****PPS assessment in transition period in 2006 (RUG44 or RUG53 must be submitted)**

ELSE IF PPS assessment [AA8b = 1,2,3,4,5,7, or 8] AND in transition period in 2006 [01/01/2006 <= A3a <= 01/13/2006]
THEN
Recalculated RUG44 computed but not stored in repository record

Recalculated RUG53 computed and stored in repository record [mcr_gp]

IF submitted RUG [T3mdcr] NOT = recalculated RUG44 AND submitted RUG [T3mdcr] NOT = recalculated RUG53

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THEN

“Incorrect RUG value” warning [-307] for T3mdcr

Display recalculated RUG44 and recalculated RUG53 on special “Medicare transition period RUGs group” line on final validation report

*****PPS assessment after transition period (RUG53 must be submitted)**

ELSE IF PPS assessment [AA8b = 1,2,3,4,5,7, or 8] AND after transition period [A3a > 01/13/2006]

THEN

Recalculated RUG53 computed and stored in repository record [mcr_gp]

IF submitted RUG [T3mdcr] NOT = recalculated RUG53

THEN

“Incorrect RUG value” warning [-307] for T3mdcr